



HAL
open science

When do we laugh?

Ye Tian, Chiara Mazzocconi, Jonathan Ginzburg

► **To cite this version:**

Ye Tian, Chiara Mazzocconi, Jonathan Ginzburg. When do we laugh?. 17th Annual Meeting of the Special Interest Group on Discourse and Dialogue, Sep 2016, Los Angeles, United States. ⟨hal-01371157⟩

HAL Id: hal-01371157

<https://u-paris.hal.science/hal-01371157v1>

Submitted on 26 Sep 2016

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.



HAL Authorization

When do we laugh?

Ye Tian¹, Chiara Mazzocconi² & Jonathan Ginzburg^{2,3}

¹Laboratoire Linguistique Formelle (UMR 7110)
& ²CLILLAC-ARP (EA 3967) & ³Laboratoire d'Excellence (LabEx)—EFL
Université Paris-Diderot, Paris, France
tiany.03@googlemail.com

Abstract

Studies on laughter in dialogue have proposed resolving what laughter is *about* by looking at what laughter follows or is adjacent to, even though this assumption has not been tested. Our paper investigates the sequential relation between the laughter and the laughable. We propose a semantic/pragmatic account in which laughter is treated as a gestural event anaphor referring to a laughable. The laughable is a described, metalinguistic or exophoric event which, upon appraisal, triggers a positive psychological shift in the laugher. We analysed a natural dialogue corpus of French and Chinese, and found that the time alignment between laughter and laughable is rather free. Only 30% of laughs immediately follow the laughable. Laughter can occur (long) before, during, or (long) after the laughable; laughter overlapping with speech may not be about the co-occurring speech. Our results falsifies the assumption that what laughter follows is what it is about, and thus questions claims which rely on this assumption.

1 Introduction

Studies about laughter in interaction have been mainly focused on the acoustic or perceptual features, and often observations of the events preceding to it have been the base for claims concerning what laughter is about. (Provine, 1993) made a claim that has been subsequently adopted in much of the literature: laughter is, for the most part, not related to humour, because it is found to most frequently *follow* banal comments. Similar reasoning has been adopted by several other studies on the kind of situations that elicit laughter. The deduction process in these studies rely on an important yet untested assumption: what laughter *follows* is what it is *about*. Our paper investigates this assumption. We first briefly discuss previous studies on laughter in interaction; we then argue for a semantic/pragmatic account in which we treat laughter as a gestural event anaphora referring to a *laughable*. We present a corpus study of laughables and evaluate our results against previous proposals.

1.1 Studies on what laughter is about

In (Provine, 1993), the researcher observed natural conversations, and “When an observer heard laughter, she recorded in a notebook the comment immediately preceding the laughter and if the speaker and/or the audience laughed, the gender, and the estimated age of the speaker and the audience [...] A laugh episode was defined as the occurrence of audible laughter and included any laughter by speaker or audience that followed within an estimated 1 s of the initial laugh event. The laugh episode included the last comment by a speaker if it occurred within an estimated 1 s preceding the onset of the initial laughter. A laugh episode was terminated if an estimated 1 s passed without speaker or audience laughter, or if either the speaker or the audience spoke.”. They found that “Only about 10-20% of episodes were estimated by the observers to be humorous” (Provine, 1993), and thus derived the conclusion which is now widely adopted in the literature: laughter is, for the most part, not related to humour but about social interaction. An additional conclusion based on this study is that laughter never interrupts speech but *punctuates* it.

Similarly, (Vettin and Todt, 2004) used exclusively timing parameters -i.e., what precedes and what follows the laugh (within a threshold of 3s) - to distinguish 6 different contexts (see figure 1) for laughter occurrence to support claims about situations that elicit laughter.

1.2 Weaknesses

In (Provine, 1993), the author assumed that laughter always immediately follow the laughable. Not only do the methods described above provide imprecise data (timing information was estimated during observation), it prevents the possibility of recording any data where laughter does not follow the laughable. In addition, even when the comment that immediately precedes laughter is the

Conversational Partner	A participant's laughter occurring immediately (up to 3 s) after a complete utterance of their conversational partner
Participant	The participant laughed immediately (up to 3 s) after his/her own complete utterance
Short confirmation	Participant's laughter immediately (up to 3 s) after a confirming 'mm,' 'I see' or something comparable by himself or his conversational partner
Laughter	Participant's laughter after a conversational partner's laughter. With an interval of less than 3 s.
Before utterance	Participant's laughter after a short pause (at least 3 s) in conversation, but immediately (up to 500 ms) before an utterance by him/herself
Situation	Laughter occurring during a pause in conversation (at least 3s), not followed by any utterance. The laughter is attributed to the general situation and not to an utterance

Figure 1: Vetting and Todt, 2004 - Context classification

actual trigger for a laugh, and it is not “amusing” in itself (i.e. it is a “banal comment”), it doesn’t necessarily entail that the *laughable* is not humorous. The funniness might arise from the “banal comment” in relation to the previous utterance, the context of the interaction, shared experiences between the speakers, world knowledge and cultural conventions. For example, in (1) “what’s funny” resides in the implicit content that the utterance refers to. In (2), the preceding utterance is funny only in relation to the context.

(1) A: Do you remember that time? B and A:
< laughter/ >.
Laughable: the enriched denotation of ‘that time’.

(2) (Context: the speakers are discussing the plan of an imagined shared apartment, and they have already planned two bathrooms). A: I want another bathroom.
B: < laughter/ >

(Vettin and Todt, 2004) is methodologically more precise, and they allow for the possibility that in addition to laughter occurring after the laughable, a laughter may precede an utterance, or occur during an exophoric situation. However, this analysis excludes laughters that occur in the middle of or overlaps with an utterance, and uses exclusively timing parameters to determine what laughter is about (as illustrated in figure 1). For example, whether a laugh is considered to be about the preceding utterance or about the following utterance is decided purely on the difference in the length of gaps with the two utterances. Crucially, the conclusion is also drawn assuming an adjacency relationship between laughter and laughable.

2 Laughter as an event anaphor

We argue that previous studies have ignored analysing the *laughable* because they did not attempt to integrate their account with an explicit semantic/pragmatic module on the basis of which

content is computed¹. The sole recent exception to this, as far as we are aware, is the account of (Ginzburg et al., 2015), which sketches an information state-based account of the meaning and use of laughter in dialogue.

Taking this as a starting point, we argue that laughter is a gestural event anaphor, whose meaning contains two dimensions: one dimension about the *arousal* and the other about the trigger or the *laughable*. In line with (Morreall, 1983) we think that laughter effects a “positive psychological shift”, and the “arousal” dimension signals the amplitude in the shift². The positive psychological shift is triggered by an appraisal of an event - the laughable *l*, and the second dimension communicates the type of the appraisal. (Ginzburg et al., 2015) propose two basic types of meaning in the *laughable* dimension: the person laughing may express her perception of the laughable *l* as being *incongruous*, or just that *l* is enjoyable (playful). We propose that in addition, certain uses of laughter in dialogue may suggest the need for a third possible type: expressing that *l* is a socially close *ingroup* situation.

2.1 Formal treatment of laughter

Here we sketch a formal semantic and pragmatic treatment of laughter. On the approach developed in KoS (Ginzburg, 2012), information states comprise a private part and the dialogue gameboard that represents information arising from publicized interactions. In addition to tracking shared assumptions/visual space, Moves, and QUD, the dialogue gameboard also tracks **topoi** and **enthymemes** that conversational participants exploit during an interaction (e.g., in reasoning about rhetorical relations.). Here topoi represent general inferential patterns (e.g., *given two routes choose the shortest one*) represented as functions from

¹This is not the case for some theories of humour, e.g., that due to (Raskin, 1985), who offers a reasonably explicit account of incongruity emanating from verbal content without, however, attempting to offer a theory of laughter in conversation.

²The amplitudes in the shift depend on both the trigger itself and on the individual current information/emotional state. It is important to point out that laughter does not signal that the speaker’s current emotional state is positive, merely that there was a shift which was positive. The speaker could have a very negative baseline emotional state (being very sad or angry) but the recognition of the incongruity in the laughable or its enjoyment can provoke a positive shift (which could be very minor). The distinction between the overall emotional state and the direction of the shift explains why laughter can be produced when one is sad or angry.

records to record types and enthymemes are instances of topoi (e.g., *given that the route via Walnut street is shorter than the route via Alma choose Walnut street*). An enthymeme belongs to a topos if its domain type is a subtype of the domain type of the topos.

(Ginzburg et al., 2015) posit distinct, though quite similar lexical entries for enjoyment and incongruous laughter. For reasons of space in (3) we exhibit a unified entry with two distinct contents. (3) associates an enjoyment laugh with the laugher’s judgement of a proposition whose situational component l is *active* as enjoyable; for incongruity, a laugh marks a proposition whose situational component l is *active* as *incongruous*, relative to the currently maximal enthymeme under discussion.

(3)

phon : laughterphontype	
dgb-params :	$\left[\begin{array}{l} \text{spkr} : \text{Ind} \\ \text{addr} : \text{Ind} \\ \text{t} : \text{TIME} \\ \text{c1} : \text{addressing}(\text{spkr}, \text{addr}, \text{t}) \\ \text{MaxEud} = \text{e} : (\text{Rec})\text{RecType} \\ \text{p} = \left[\begin{array}{l} \text{sit} = 1 \\ \text{sit-type} = \text{L} \end{array} \right] : \text{prop} \\ \text{c2} : \text{ActiveSit}(1) \end{array} \right]$
content _{enjoyment} = Enjoy(spkr,p) : RecType	
content _{incongruity} = Incongr(p,e, τ) : RecType	

(3) makes appeal to a notion of an *active situation*. This pertains to the accessible situational antecedents of a laughter act, given that (Ginzburg et al., 2015) proposed viewing laughter as an eventive anaphor. However, given the existence of a significant amount of speech laughter, as we discuss below, this notion apparently needs to be rethought somewhat, viewing laughter in gestural terms. This requires interfacing the two channels, a problem we will not address here, though see (Rieser, 2015) for a recent discussion in the context of manual gesture.

The dialogue gameboard parameters utilised in the account of (Ginzburg et al., 2015) are all ‘informational’ or utterance related ones. However, in order to deal with notions such as arousal and psychological shift, one needs to introduce also parameters that track appraisal (see e.g., (Scherer, 2009)). For current purposes, we men-

tion merely one such parameter we dub *pleasantness* that relates to the appraisal issue—in Scherer’s formulation—*Is the event intrinsically pleasant or unpleasant?* We assume that this parameter is scalar in value, with positive and negative values corresponding to varying degrees of pleasantness or unpleasantness.

This enables us to formulate conversational rules of the form ‘if A laughs and pleasantness is set to k , then reset pleasantness to $k + \theta(\alpha)$ ’, where α is a parameter corresponding to arousal.

2.2 Research questions

The study is part of a broader investigation on laughter aimed at integrating it in a semantic framework, where is analysed using a multi-level scheme. The focus of the current study is the positioning of laughter in relation to its laughable.

Our account suggests that resolving the *laughable* is crucial for deriving the content of any laughter event. We hypothesize that laughter is not always adjacent to its laughable. Rather, the sequential distribution between laughter and laughable is somewhat free.

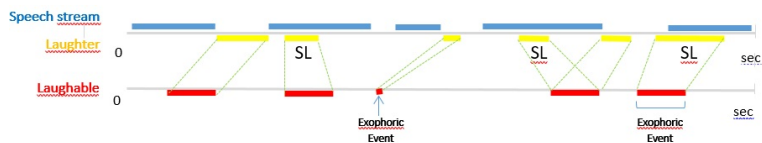


Figure 2: Temporal misalignment speech stream, laughter and laughable

Illustrated in figure 2, we make the following hypotheses in relation to our research questions:

Q1: Does laughter always follow its laughable?

-If not, does laughter-laughable alignment differ among different types of laughters?

We hypothesize that laughter can occur before, during or after the laughable; laughter and laughable should not have a one-to-one relationship: one laughable can be the referent of several laughter events.

-Laughter-laughable alignment may vary depending on at least the source of the laughable (self or partner) and whether it is speech laugh or laughter bouts.

Q2: Does laughter interrupt speech?

We hypothesize that laughter can occur both at utterance boundaries and at utterance-medial position.

Q3: Is laughter-laughable alignment pattern language specific?

-We hypothesize that language/culture influence alignment and thus predict to find differences between, in this case, French and Chinese.

3 Material and method

3.1 Corpus

We analyzed a portion of the DUEL corpus (Hough et al., 2016) The corpus consists of 30 dyads (10 per language)/ 24 hours of natural, face-to-face, loosely task-directed dialogue in French, Mandarin Chinese and German. Each dyad conversed in three tasks which in total lasted around 45 minutes. The three tasks used were:

1. **Dream Apartment:** the participants are told that they are to share a large open-plan apartment, and will receive a large amount of money to furnish and decorate it. They discuss the layout, furnishing and decoration decisions;
2. **Film Script:** The participants spend 15 minutes creating a scene for a film in which something embarrassing happens to the main character;
3. **Border control:** one participant plays the role of a traveller attempting to pass through the border control of an imagined country, and is interviewed by an officer. The traveller has a personal situation that disfavours him/her in this interview. The officer asks questions that are general as well as specific. In addition, the traveller happens to be a parent-in-law of the officer.

The corpus is transcribed in the target language and glossed in English. Disfluency, laughter, and exclamations are annotated. The current paper presents analysis of laughter in two dyads in French and Chinese (3 tasks x 2 pairs x 2 languages).

3.2 Audio-video coding of laughter

Coding was conducted by the first, second authors and 2 trained, but naïve to the aim of the study, master students: each video was observed until a laugh occurred. The coder detected the exact onset and offset in Praat (Boersma and others, 2002),

and conducted a multi-layer analysis as explained shortly. A laugh was identified referring to the same criteria used in (Nwokah et al., 1994), based on the facial expression and vocalization descriptions of laughter elaborated by (Apte, 1985) and (Ekman and Friesen, 1975). Following (Urbain and Dutoit, 2011) we counted laughter offset (final laughter in-breath inhalation) as part of the laughter event itself, thus resulting in laughter timings longer than other authors (Bachorowski and Owren, 2001; Rothgänger et al., 1998).

All laughter events were categorised according to different parameters: formal and contextual aspects, semantic meaning and functions (see Table 3). The formal and contextual level analysis include whether a laughter overlaps speech (speech laugh), whether it co-occurs with or immediately follows a partner's laughter (dyadic/ antiphonal laughter), and its position in relation to the laughable. The semantic meaning level analysis include perceived arousal, the type of the laughable (described event, metalinguistic or exophoric), and whether it contains an incongruity. The function analysis codes the effect of laughter on the interaction, and distinguishes whether the effect is cooperative, i.e. promotes interaction (e.g. showing enjoyment, smoothing) or non-cooperative, i.e., in some way disaffects interaction (e.g., mocking or assertion cancellation). Due to space constraints and current focus, we do not provide a detailed explanation of multi-level laughter coding scheme, for which see (Tian et al., 2016).

For the main analysis, we include in our analysis both laughter and speech laughter (Nwokah et al., 1999). In the current study we restrict our observations about the aspects pertaining to form to the contextual distribution and positioning of a laugh in relation to others' laughter, the laughable and laughter's herself speech.

3.3 Identifying laughables

We consider as the laughable the event which, after appraisal, produces a positive psychological shift in the laugher. We distinguish three different kinds of laughable types: described events, metalinguistic stimuli and exophoric events (see fig. 3 for definitions). We also mark whether they originated from the laugher him/herself or by the partner.

- (4) **Described event** A: il y a (un: + un) de mes potes? idiot comme il est. qui (< p = pose > po- < /p > qui pose) un steak sur le rebord (de: + du) balcon?

Formal Level	Speech and Laughter	Speech-Laugh	A laugh produced simultaneously with speech		Jwockah et al. 1999
		Standalone laugh	A laugh with not overlap with laugher's own speech		
Temporal Sequence	Isolated Laughter	A laugh not preceded by any other laugh within 4 s			Jwockah et al. 1994
	Dyadic/Antiphonal Laughter	Reciprocal	A laugh that occurs less than 4 seconds after a laugh by the partner, but there is no occurrence or overlap of laughter		Jwockah et al. 1994;
		Co-active	Two participants start laughing together and keep on laughing		Smolki & Bachorowski 2003
Context in relation to the inferred laughable	Before	The laughter occurs before the laughable has been uttered or occurred in the context			
	During	The laughter occurs while the laughable is being uttered or while it is occurring in the context			
	After	The laughter occurs after the laughable has been uttered or occurred in the context			
Semantic Level	Arousal	Low/Medium/High	Qualitative judgement		
	Presence of incongruity	Incongruity/No incongruity	Perception of elements unexpected and surprising in relation to the context (frame) of occurrence		
		Laughable	Described event	By the laugher him/herself (self) or by the conversational partner (par) or co-constructed (both)	
	Exophoric event		Event not described or contained in the speech		
Functions for others	Coop	E.g. show enjoyment, smooching/softening, show agreement, mark funniness, benevolence induction			
	Non Coop	E.g. offensive, mocking, threat, challenge, show disagreement/scepticism, avoid topic, evade conversation			

Figure 3: Laughter coding parameters

B: < laughter/ > < laughter > ils sont bizarres tes potes < /laughter >

A: There is (one: + one) of my buddies, stupid as he is. who (< p = put > pu- < /p > + who put) a steak on the border of the: of the balcony B: < laughter/ > < laughter > you have weird buddies < /laughter >

- (5) **Metalinguistic stimuli** B: Alors je viens pour F euh avoir mon passeport? pour Inra:schabella? < laughter/ >

B: So I'm here for F euh having my passport? for Inra:schabella? < laughter/ >
Laughable= "Inraschabella" (linguistic form, laugh after laugher's speech)

- (6) **Exophoric event** The examiner is asking A to move the arms because of technical issues A: movement arms B: < laughter/ > A: < laughter/ >

3.4 Audio-video coding of laughable

Every time a laughter was identified, coders would mark on the Praat TextGrid, based on personal inference, the laughable the laughter would refer to. Time boundaries were marked, content (whether verbal or not) annotated and indexical assigned in order to map laughter (or multiple laughters) and laughable assigned³.

4 Results

In our data sample (summarized in Table 1), laughter is very frequent, constituting 17% of the con-

³A reliability study for the laughable annotation is currently in progress using an additional coder (taken from outside the author pool) for 10% of the material observed. The results will be reported in the final version of this paper.

versation duration in French and 7.2% in Chinese. Each laughable is "laughed about" more than once (1.7 times in French and 1.4 times in Chinese).

	French	Chinese
Dialogue.dur	77min	85min
mean utterance.dur	1.8sec	1.5sec
No. laughter	436	221
laughter.dur	1.9s (sd .97)	1.4s (se .53)
No. laughable	256	158
laughable.dur	2.7s (sd 1.5)	2.8s (sd 2.1)
No.laughter per laughable	1.7	1.4

Table 1: Data summary

4.1 Does laughter always follow the laughable?

To investigate the time alignment between laughter and laughable, we calculated "start of laughter minus start of laughable", "end of laughter minus end of laughable", and "start of laughter minus end of laughable". If laughter always follow the laughable, all three measurements should be above zero. This was not the case. In both Chinese and French, on average, laughter starts *during* rather than after the laughable, and finishes after the laughable. In general, laughters in Chinese are more likely to overlap with the laughable than in French. The distribution varies over a wide range. Table 2 summarizes the gaps between the boundaries of laughter and laughable, and figure 4 plots specifically the gap between the end of the laughable and the start of laughter. They show that it is common for laughters to start before, during and after the laughable. When a laughter has no overlap with its laughable, they are not always adjacent to each other (average utterance duration is under 2 seconds while the gap can be up to 10 seconds). In the following example, the laughable is uttered, and the laughter didn't occur until an utterance later.

- (7) A: votre nom. Alice Martin? .. de nationalité française
B: hmm tu le sais très bien? tu es mariée à mon fils.
Ecoute. A: < laughter/ >

A: your name. Alice Martin? .. French nationality B: hmm you know very well? you are married to my son. listen. A:< laughter/ >

Laughable= A : votre nom. Alice Martin?

Based on whether laughter occurs entirely outside or overlapping with the laughable, we grouped the laughters into 4 alignment categories: "before", "overlap", "immediately after" and "other after" (see figure 5). We found that in both languages, laughters that immediately follow (within 0.3s) the laughable constitute only 30%. There are more overlapping laughters in Chinese than in French ($\chi^2(1)=6.9, p=.008$).

	Fr			Ch		
(in seconds)	mean	sd	range	mean	sd	range
start.L-start.LB	2.2	2.4	-9.4 - 13.7	1.3	2.3	-19.6 - 9.6
end.L-end.LB	1.4	2.3	-12.8 - 11.6	0.5	2.6	-24.6 - 5.2
start.L-end.LB	-0.5	2.3	-13.9 - 8.4	-0.9	2.6	-25.1 - 3.0

Table 2: Time alignment of laughter (“L”) and laughable (“LB”)

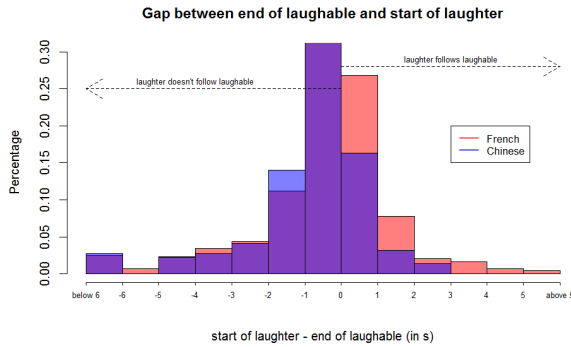


Figure 4: Gap between laughable and laughter
Proportions of alignment types

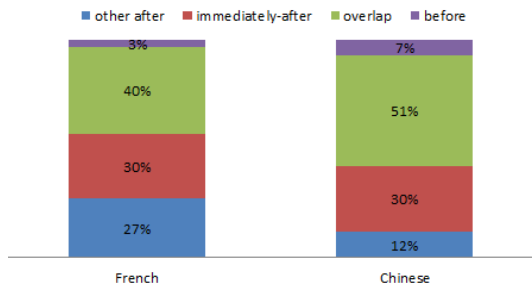


Figure 5: laughters before, after or overlapping with laughable

4.2 Does laughter-laughable alignment differ among different “types” of laughables and laughters?

Our analysis mainly focuses on the distinction between self and partner produced laughables, and between speech laugh and laughter bouts, presented separately below. Due to space constraints, the effect of the rest of the tiers are not discussed.

4.2.1 Self vs. partner produced laughables

We coded whether the laughables are described events, meta-linguistic, or exophoric events. Described events are the commonest (92% in French and 89% in Chinese), followed by exophoric laughables (7% in French and 10%). Metalinguistic (1% in both languages) laughables are rare, so we grouped them with described events in the current analysis. On average, there are more self-produced than partner-produced laughables, supporting the idea that speakers laugh more often than the audience. Interestingly, 3% of the laughables are jointly produced (one person finishing the other’s sentence, or both saying roughly the same thing at the same time) (see (8)). With the former two categories, we also coded whether the laughable is produced by the laugher or her part-

ner, which allow us to compare our results with studies of “speaker” or “audience” laughter.

(8) (totally overlapping turns are italicized)

B: c’est une personne qui est aux toilettes dans < laughter > des toilettes publiques A: < laughter > X ah: oui: oui un mec qui parle a cute’ < laughter/ > B: dans < laughter > des toilettes publiques voila sauf que l’autre il est au telephone et l’autre il lui croit qu’il parle . C’est genant < laughter/ >

B: it is a person who is in the bathroom in < laughter > in public bathroom A: < laughter > X ah: yes:: yes a guy who is talking in the next stall < laughter/ > B: in < laughter > in public bathroom exactly but the other is on the phone and the other think he speaking with him. That’s embarrassing < laughter/ >

We found that laughters about a partner-produced laughable start later than those about a self-produced laughable, but still the average starting time is before the end of the laughable. With partner-produced laughables, the average gap between the end of laughable and start of laughter is -0.02s in French and -0.3s in Chinese, while with self-produced laughables, the average gap is -0.7s in French and -1.3s in Chinese.

4.2.2 Speech laugh vs. laughter bouts

Laughter frequently overlaps with speech. 36% of laughter events in French and 47% of laughter events in Chinese contain speech laughter. Speech laughter is on average 0.3 seconds longer than stand alone laughter bouts. Speech laughters overlap with the laughable more than laughter bouts. 52% of speech laughters in French and 70% in Chinese overlap with the laughables. In comparison, 33% of laughter bouts in French and 34% in Chinese overlap with the laughable.

Notice that not all speech laughters overlap with the laughable, suggesting that often, laughter that co-occurs with speech is not about the co-occurring speech (47.8% in French and 30% in Chinese). In the following example, speaker B says that she’ll take the bigger bedroom, and laughs. Speaker A joins the laugh but starts a new utterance.

(9) B: okay. les chambres maintenant A:alo:rs F euh: bon évidemment F euh: B: je prends la plus grande < laughter/ > A: c’est là < laughter > où il y a un problème t’vois < /laughter >

B: okay. the bedrooms now A: well F euh:well obviously F euh: B: I take the bigger one < laughter/ > A: It’s there < laughter > where there is a problem you see < /laughter >

Laughable= “je prends la plus grande”

4.3 Does laughter interrupt speech?

We investigated whether laughter occurs at utterance-medial positions when one party is speaking, and when the partner is speaking.

Does laughter interrupt partners' utterances? Yes. We found that 51.8% of laughter bouts in French and 56.7% of laughter bouts in Chinese start during the partner's utterances (not necessarily laughables), for example:

(10) B: pour faire un mur de son quoi < laughter > en fait c'est une < english > ra:ve < /english > notre appartement < /laughter > A: < laughter / >

B: to create a sound barrier what < laughter > in fact it is a < english > ra:ve < /english > our flat < /laughter > A: < laughter / >

Does laughter interrupt one's own utterances?

We found 14 laughter bouts (5%) in French and 12 (8.6%) in Chinese that occurred in utterance-medial positions. These proportions are statistically higher than zero: French $\chi^2(1)=12.3$, $p=.0004$; Chinese $\chi^2(1)=10.5$, $p=.001$. Most of these interruptions are not at phrase boundaries. For example:

(11) 那你之前有没有啊.有过什么... < laughter / >
< laughter > 犯罪记录吗?

Do you have, uh, have, < laughter / > any criminal records?

5 Discussion

The aim of the current study was to deepen the little research available on the relation between laughter, laughable and speech in natural conversation, starting from the observation of their temporal sequence and alignment. We investigated three questions: whether laughter always follows, or at least is adjacent to its laughable, as is commonly assumed; whether this sequential alignment differs depending on different "types" of laughables; and whether laughter always punctuates speech. Our main findings are:

1. Time alignment between laughter and laughable is rather free.

— Laughter and laughable does not have a one-to-one relationship. A laughable can be referred to by more than one laughers.

— Contrary to popular belief, only 30% of laughers occur immediately after the laughable. Laughers frequently start during the laughable (more so with "speaker" laughter

than "audience" laughter).

— Laughers can occur long before or long after the laughable, and be not adjacent to their laughable.

— Between 30 to 50 percent of speech laughs do not overlap with the laughable, suggesting that frequently laughs are not about the co-occurring speech.

Even looking at only laughter bouts, only about 40% occur immediately after the laughable.

2. Laughter-laughable alignment may differ depending on the different "types" of laughable and laughter. Specifically, laughers about a partner-produced laughable (audience laughter) start later than those about a self-produced laughable (speaker laughter). Speech laughs occur earlier than laughter bouts, and overlaps more with the laughable.
3. Comparing Chinese and French, the majority of the patterns are similar, except that in Chinese, laughers are more likely to overlap with the laughable than in French. This suggests that while certain aspects of laughter behaviour is influenced by culture/language, generally we use laughter similarly in interaction.
4. Laughter *does* interrupt speech: we often laugh when others are speaking (half of all laughter bouts) and occasionally we insert stand-alone laughers mid-sentence (less than 10%). Moreover, very frequently laughter overlaps speech (around 40% of all laughers).

The relatively free alignment between laughter and speech seems analogous to the relation between manual gesture and speech (Rieser, 2015). We propose to consider laughter as a verbal gesture, having an independent channel from speech, with which it communicates through an interface.

5.1 Is laughter rarely about funny stimuli?

Our results discredit the method of inferring what the laughter is about by looking at the elements that immediately precede or follow it. Therefore, all previous conclusions using this method should be revisited. (Provine, 1993; Provine, 1996; Provine, 2001; Provine and Emmorey, 2006; Vettin and Todt, 2004). One such conclusion is that

because they follow “banal comments”, laughter is mostly about not about funny stimuli. We have shown that the logic does not hold, as very often, those preceding “banal comments” are not the laughables. And even if they are, the “funniness” or incongruity may reside between the laughable and something else, e.g. the context of occurrence, world knowledge, cultural norms, experiences, informational and intentional states shared between interlocutors. For example, in the following exchange, the exchange seems rather banal, but in fact, they are laughing about the exophoric situation that they are acting.

(12) A: X oh < X > comment allez-vous? < /X >
< laughter/ > B: ça va et toi? tu vas bien? A : très bien merci:

A: X oh < X > How are you? < /X >
< laughter/ > B: fine and you? are you ok?
A: very well thanks

Laughable= exophoric situation (they started acting)

Exactly what proportion of laughables contain funny incongruity is a topic for further research. For now, our falsification of the assumption questions the validity of such proposals.

5.2 Laughter Punctuating Speech?

It has been suggested (notably by Provine) that laughter bouts almost never (0.1%) disrupts phrases but punctuate them (Provine, 1993; Provine, 1996; Provine, 2001). He explains this finding on the basis of an organic constraint: laughter and speech share the same vocal apparatus and speech has “priority access”. Curiously enough, Provine has always excluded speech-laugh analysis from his investigations, without any justification. A more recent study on laughter in deaf ASL signers (Provine and Emmorey, 2006) showed signers rarely laugh during their own utterances, where no competition for the same channel of expression is present. Provine and Emmorey conclude that the punctuation effect of laughter holds even for signers, and possibly is not a simple physical constraint that determines the placement of laughter in dialogues, but due to a higher order linguistic ordered structure (Provine, 2006).

On the surface, their findings in speakers and signers are similar: speakers do not stop mid-sentence to insert a laugh, and signers do not laugh while signing a sentence. However, it does not follow that both types of speakers only laugh at phrase boundaries. We have shown that while it

is infrequent that speakers insert laughter bouts mid-sentence it does happen in 5-8% of laughs. On the other hand, in our study laughter bouts often occur during partner’s utterances (as reported also in Vettin and Todt, 2004), or less frequently, dur one’s own utterances (less than 10% but significantly higher than zero). In addition, speech laughter in our data is very frequent (around 40% of all laughter), higher than what has been reported in previous studies (e.g. (Nwokah et al., 1999)’s mean of 18,6%, with a variance up to 50%). Curiously enough, Provine has always excluded speech-laugh analysis from his investigations, without any justification, and in Provine (2006) states that indeed “Punctuation was not found in the more specialised case of maternal, infant-directed speech (Nwokah et al 1999) laugh-speak, a hybrid of speech and laughter excluded from most previous research on adult directed laughter (Provine, 2001)”.

In the final version of this paper we will also offer an alternative account to that offered by (Provine and Emmory, 2006) concerning the occurrence of laughter in deaf ASL signers, suggesting that the apparent punctuation effect there is due to the potentially excessive disruption caused by laughter to a signer’s facial configuration.

6 Conclusion and future work

Our study provides the first systematic analysis of laughables, and shows that less than a third of laughers immediately follow their referents. Instead, the laugh can occur before, during or after the laughable with wide time ranges. In addition, laughter *does* “interrupt” speech: we frequently start laughing in the middle of an utterance of the interlocutor or of ourselves (often speech-laugh). Our results falsify the assumption that what laughter follows is what it is about, and thus question previous claims based on this assumption.

In future work, we will expand our study to a bigger data sample, and more languages (German and English); analyse the linguistic content of laughable; investigate how the laughable is resolved by the addressee(s) and whether it can be detected automatically.

References

- Mahadev L Apte. 1985. *Humor and laughter: An anthropological approach*. Cornell Univ Pr.
- Jo-Anne Bachorowski and Michael J Owren. 2001. Not all laughs are alike: Voiced but not unvoiced laughter readily elicits positive affect. *Psychological Science*, 12(3):252–257.
- Paul Boersma et al. 2002. Praat, a system for doing phonetics by computer. *Glott international*, 5(9/10):341–345.
- Paul Ekman and Wallace V Friesen. 1975. Unmasking the face: A guide to recognizing emotions from facial cues.
- Jonathan Ginzburg, Ellen Breitholtz, Robin Cooper, Julian Hough, and Ye Tian. 2015. Understanding laughter. In *Proceedings of the 20th Amsterdam Colloquium*, University of Amsterdam.
- Jonathan Ginzburg. 2012. *The Interactive Stance: Meaning for Conversation*. Oxford University Press, Oxford.
- Julian Hough, Ye Tian, Laura de Ruiter, Simon Betz, David Schlangen, and Jonathan Ginzburg. 2016. Duel: A multi-lingual multimodal dialogue corpus for disfluency, exclamations and laughter. In *10th edition of the Language Resources and Evaluation Conference*.
- John Morreall. 1983. *Taking laughter seriously*. SUNY Press.
- Evangeline E Nwokah, Hui-Chin Hsu, Olga Dobrowolska, and Alan Fogel. 1994. The development of laughter in mother-infant communication: Timing parameters and temporal sequences. *Infant Behavior and Development*, 17(1):23–35.
- Eva E Nwokah, Hui-Chin Hsu, Patricia Davies, and Alan Fogel. 1999. The integration of laughter and speech in vocal communication: A dynamic systems perspective. *Journal of Speech, Language, and Hearing Research*, 42(4):880–894.
- Robert R Provine and Karen Emmorey. 2006. Laughter among deaf signers. *Journal of Deaf Studies and Deaf Education*, 11(4):403–409.
- R. R. Provine. 1993. Laughter punctuates speech: Linguistic, social and gender contexts of laughter. *Ethology*, 95(4):291–298.
- Robert R Provine. 1996. Laughter. *American scientist*, 84(1):38–45.
- Robert R Provine. 2001. *Laughter: A scientific investigation*. Penguin.
- V. Raskin. 1985. *Semantic mechanisms of humor*, volume 24. Springer.
- Hannes Rieser. 2015. When hands talk to mouth. gesture and speech as autonomous communicating processes. *SEMDIAL 2015 goDIAL*, page 122.
- Hartmut Rothgänger, Gertrud Hauser, Aldo Carlo Cappellini, and Assunta Guidotti. 1998. Analysis of laughter and speech sounds in Italian and German students. *Naturwissenschaften*, 85(8):394–402.
- Klaus R Scherer. 2009. The dynamic architecture of emotion: Evidence for the component process model. *Cognition and emotion*, 23(7):1307–1351.
- Ye Tian, Chiara Mazzocconi, and Jonathan Ginzburg. 2016. Towards a multi-layered analysis of laughter. In *Proceedings of JerSem, the 19th Workshop on the Semantics and Pragmatics of Dialogue*.
- Jérôme Urbain and Thierry Dutoit. 2011. A phonetic analysis of natural laughter, for use in automatic laughter processing systems. In *Affective Computing and Intelligent Interaction*, pages 397–406. Springer.
- Julia Vettin and Dietmar Todt. 2004. Laughter in conversation: Features of occurrence and acoustic structure. *Journal of Nonverbal Behavior*, 28(2):93–115.