

# Multiple information carried by RNAs: total eclipse or a light at the end of the tunnel?

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1	Multiple information carried by RNAs: total eclipse or a light at the end of the tunnel?
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21	Abstract:
22	The findings that an RNA is not necessarily either coding or non-coding, or that a precursor RNA
23	can produce different types of mature RNAs, whether coding or non-coding, long or short, have
24	challenged the dichotomous view of the RNA world almost 15 years ago. Since then, and despite an
25	increasing number of studies, the diversity of information that can be conveyed by RNAs is rarely
26	searched for, and when it is known, it remains largely overlooked in further functional studies.
27	Here, we provide an update with prominent examples of multiple functions that are carried by the
28	same RNA or are produced by the same precursor RNA, to emphasize their biological relevance in
29	most living organisms. An important consequence is that the overall function of their locus of origin
30	results from the balance between various RNA species with distinct functions and fates. The

consideration of the molecular basis of this multiplicity of information is obviously crucial for

downstream functional studies when the targeted functional molecule is often not the one that is

Keywords: Bifunctional RNA; Non-coding RNA; Protein-coding; Dual function RNA; Splicing;

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circRNA; small ORF

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#### 36 Introduction

Almost three decades ago, unprecedented research efforts to sequence the human genome and identify the genes that it contains led to the striking evidence that the vast majority of the genome does not contain information to make proteins. Even more surprising, most of these so-called noncoding sequences were shown to be competent for transcription and non-coding transcripts to constitute the bulk of the human transcriptome [1,2].

42 By definition, a non-coding RNA (ncRNA) is an RNA molecule that is not translated into a 43 protein product, and is thus distinguished from messenger RNAs (mRNA). Abundant and 44 functionally important classes of ncRNAs include structural RNAs like transfer RNAs (tRNAs) and 45 ribosomal RNAs (rRNAs), small regulatory RNAs such as microRNAs (miRNAs), small interfering 46 RNAs (siRNAs), PIWI-interacting RNAs (piRNAs), small nuclear RNAs (snRNAs), small 47 nucleolar RNAs (snoRNAs), small cajal body RNAs (scaRNAs) [3]. We should also mention an 48 extensive list of so-called long ncRNAs (lncRNA) whose functions remain largely unclear for most 49 of them [4]. Nonetheless, ncRNAs have been assigned functions in most aspects of cell biology including transcription, chromatin remodelling, splicing, nuclear import and chromosome 50 51 architecture, and to function as scaffolding, guiding, signalling or decoy for small regulatory RNAs 52 or proteins [3,5]. Not surprisingly, they are often deregulated in human diseases, notably in a range 53 of cancers or inherited disorders [6,7], although they have been more rarely causally linked to the 54 emergence of disease phenotypes.

55 Since the release of the first draft, the annotation of the human genome has been quite 56 dynamic and new data continues to enrich the transcriptome every day. Even recently, the number 57 of protein-coding genes has been revised downwards [8]. It is important to remember that the 58 transcriptional potential of eukaryotic genomes is very pervasive and widely intertwined [1,2]. For 59 example, independent transcription units can be hosted in introns of larger ones or overlap in the 60 antisense orientation. In addition, the use of alternative promoters or termination sites is well-61 known to regulate transcriptional output in a tissue- or stage-specific manner through the production 62 of various isoforms. In that respect, the multifunctionality of a given genomic sequence, whereby a 63 single locus can release more than one type of transcripts, *i.e.* coding, non-coding, long or short, 64 sense or antisense, has been well documented [9-11] and is not the topic of this review. Importantly, 65 this diversity is mostly regulated at the level of transcription depending on cellular context or 66 environmental cues. An important consequence is that the transcripts thus produced may not co-67 exist in the same cellular context.

68 However, difficulties in genomic annotation are also complicated by mounting evidence that 69 multifunctionality can also apply to transcripts themselves. Indeed, certain precursor RNAs can 70 release more than one class of transcripts, *i.e.* coding and non-coding or two non-coding RNAs, and 71 more strikingly, that some mature transcripts can perform more than one function [reviewed in [12]] 72 and Figure 1]. The first example of an RNA with dual function was probably the Steroid Receptor 73 RNA activator (SRA) [13,14], and the term 'bifunctional' was then coined by Marcel Dinger and 74 John Mattick [15]. Ever since, this duality of information conveyed by some of the transcripts has 75 actually been reported in almost all organisms, from lower eukaryotes through plants to mammals 76 [see Table 1 for prominent examples]. Hence, the view that an RNA should be either coding or 77 non-coding is rather binary and the duality in RNA functions is far from being anecdotal. 78 Unfortunately, and as often, this awareness is somewhat mitigated by the multiplication of various 79 denominations such as "dual-function RNAs" [16,17], "coding and non-coding RNAs" [cncRNAs, 80 [16,18,19]] or "long non-coding chimeric RNA" [lnccRNA, [20]].

81 We propose here to go over remarkable contexts where any attempt at categorization into 82 coding or non-coding is likely to be reductive. We will go over bifunctional precursor RNAs (pre-83 RNA) that can release coding and non-coding, or two non-coding, functions depending on post-84 transcriptional maturation processes. We will also mention single RNA molecules that can operate 85 at least two functions [for reviews see [12,15,21,22]]. This includes messenger RNAs (mRNA) 86 shown to operate as functional RNAs, and certain lncRNAs initially classified as non-coding but 87 shown to release small peptides or re-annotated as coding RNAs. Following the logic, any 88 functional ncRNA that serves as a precursor to a smaller regulatory RNA should be considered as 89 bifunctional (Figure 1). Examples will include certain snoRNAs that function as precursors of 90 miRNAs. Likewise, snoRNAs being exclusively produced from intron splicing, at least in humans, 91 their host precursor is a bifunctional RNA since its splicing releases a mature mRNA and a snoRNA 92 with distinct mechanisms of action and locations.

93 Understanding the combination of functions and fates that can be carried by a transcript, being 94 it a mature RNA or a pre-RNA, is not only futile nor is it intended to add confusion by naming new 95 categories of RNAs. On a practical note, the annotation of transcripts with coding, non-coding or 96 mixed potential is important for genome or transcriptome manipulations for which the impact may 97 be wider than expected or simply not the desired one. This knowledge is also crucial for genomic 98 studies that aim to understand the determinants of human traits or predisposition to disease.

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#### 100 1/ When a precursor-RNA produces two or more transcripts with distinct fates

We already mentioned cases where the dual coding/non-coding function is carried by the products of a gene and its related pseudogene (reviewed in [12,23]), yet it potentially involves thousands of pairs of gene/pseudogene transcripts that remain to be characterized. In a more remarkable way, this duality of functions can also be released by the same transcription unit, *i.e.* carried by the same pre-RNA, after steps of post-transcriptional maturation. 106

#### 107 Intron retention and the production of lncRNAs

We have already discussed alternative splicing (AS) as a means used by organisms to enhance their proteome, through the production of diverse mRNAs which fate is to be translated into protein variants, but also their transcriptome, by producing both coding and non-coding RNAs in fewer cases [12,24].

112 The first example was the SRA RNA first identified as a ncRNA with trans-activating 113 functions of the activity of hormone receptor complexes [25]. Further characterization identified 114 dozens of transcripts that classically differ in their initiation and termination sites or by their exon 115 content through exon skipping [13,14,26]. However, the striking finding was that these transcripts 116 also differed in their coding capacity, with the most remarkable disruption of the ORF being 117 through the retention of the first intron. In sum, fully spliced isoforms encode a SRAP protein 118 whereas intron-retaining isoforms form the SRA ncRNA [13,14,27]. Although it is yet unknown 119 how AS of SRA intron 1 is regulated and how the intron-retaining isoforms escape surveillance 120 machineries like the Nonsense-Mediated Decay (NMD), the overall function of the SRA1 locus 121 results from the balance between coding and non-coding isoforms, at least in a muscle context 122 [12,27]. Whereas SRAP was shown to have an antagonistic impact on the function of its cognate 123 SRA RNA, a switch towards non-coding isoforms accompanies muscle differentiation and 124 accelerates reprogramming of non-muscle cells towards the muscle fate [27]. Evidence for the 125 functional importance of this switch is the finding that it does not occur in cells from patients with 126 splicing defects causing the Myotonic Dystrophy type 1 (DM1) [27]. Importantly, because coding 127 and non-coding isoforms co-exist in the cell, addressing their individual functions required to 128 mutate the ORF without affecting the secondary structure of the ncRNA or to destroy the secondary 129 structures without affecting the ORF [27]. Even truer than for classical transcription units, the 130 extensive knowledge of the variety of mature transcripts than can be produced through post-131 transcriptional maturation of a pre-RNA is key to prevent false assumptions from non-targeted 132 functional approaches.

133 This was also probably the first example providing evidence that AS of intron is not 134 necessarily a faulty mechanism, although it is less widely used than in plants [28]. Indeed, intron 135 retention (IR) is usually thought to be an error in the splicing mechanism that leads to RNA 136 degradation by triggering the NMD pathway [29]. How some intron-retaining RNAs do escape 137 NMD is currently unclear, but the stable ncRNAs thus produced may operate functions as RNA 138 molecules, or at least have an impact on cellular processes. It was suggested that IR could also 139 impact translation efficiency if the retained intron contains miRNA binding sites [30,31], or if it 140 introduces a uORF (upstream of the ORF) or a rigid structure upstream of the start codon [reviewed in [32]]. Since then, hundreds of mRNA with IR have been bioinformatically predicted [24,33] or
identified as stable entities in various cell types [14,34] and reviewed in [12,21,35]. In human cells,
IR was proposed to fine-tune gene transcriptomes [36] and, more importantly, to orchestrate the
establishment of lineage-affiliated expression programs that accompany cell differentiation [35,37].

145 Another example where AS serves to coordinate gene expression programs in response to environmental cues is during the stress response. Upon UV irradiation, the rate of transcriptional 146 147 elongation is reduced and associated with a shift in expression from long mRNAs to shorter 148 isoforms through the incorporation of an alternative last exon (ALE) [38]. This AS mechanism 149 differs from IR but, as shown for the Activating Signal Cointegrator 1 Complex Subunit 3 150 (ASCC3), it also promotes an expression switch from a long coding mRNA to a shorter isoform that 151 functions as a nuclear ncRNA [38]. As shown for SRA/SRAP [27], long and short ASCC3 isoforms 152 have opposite effects on transcription recovery after DNA damage. This duality of information 153 carried by short and long ALE isoforms may also apply to other UV-induced ALE transcripts with 154 yet unknown contribution to the DNA damage response [38].

155 It is also worth mentioning cases where deregulated AS caused by alterations of splicing mechanisms in pathological conditions promotes the formation of a ncRNA instead of a coding 156 mRNA, with dramatic consequences for cellular phenotypes. Alterations of AS are hallmarks of 157 158 cancer cells and, beyond the consequences for the formation of protein isoforms with gain or loss of function, they can also lead to the release of functional ncRNA. For example, the locus 159 160 PNUTS/PPP1R10 encodes a protein phosphatase 1 (PP1) regulatory subunit also known as PNUTS 161 (Phosphatase Nuclear Targeting Subunit) involved in cell cycle progression, DNA repair and 162 apoptosis by regulating the activity of the protein phosphatase 1 (PP1). In cancer cells, the 163 unmasking of an alternative splice site located in an exon disrupts the ORF and releases a lncRNA. 164 The lncRNA-PNUTS acts as a sponge for a key determinant of the epithelial phenotype, miR-205, thereby promoting Epithelial-Mesenchymal Transition (EMT) and tumour progression [39]. 165

166 As a whole, these examples underline the importance of AS as a developmental switch, which allows a single transcription unit to produce multiple transcripts with distinct functions and fates 167 168 depending on the needs of the cell. Yet, it is important to remind that these transcripts can co-exist 169 in the cell and that the overall function results from the balance between the levels of the different 170 isoforms and the distinct functions they perform. Hence, addressing the function of bifunctional 171 RNAs requires to uncouple the often-antagonistic coding and non-coding functions. Inferring their 172 function(s) without knowing the full range of transcripts produced in a given context and their 173 intertwining is likely to lead to false mechanistic assumptions and release of poor biomarkers of 174 pathophysiological situations.

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#### Splicing of introns and the release of short ncRNAs

In contrast to generating RNAs with new functionality when retained in a mRNA, introns can also function as precursors of smaller ncRNAs when they are excised from the pre-mRNA. This is the case for all known mammalian snoRNAs [40], for non-canonical miRNAs like mirtrons or simtrons [41], or yet unknown categories of intron-derived small RNAs. We collectively named these transcripts SID for Short Intron-Derived ncRNAs [42].

183 SnoRNAs are abundant, short, nucleoli-residing, small ncRNA, best known for guiding 184 post-transcriptional modifications of other ncRNAs such as rRNAs, tRNAs and snRNAs [40]. They 185 constitute so far the majority of known SIDs. Unlike in yeast and plants where snoRNAs can have 186 their own promoter, all mammalian snoRNAs are embedded within introns, usually on a one per 187 intron basis. They are transcribed from their host genes as portions of the pre-RNA, and the 188 functional snoRNAs are then produced by exonucleolytic trimming after splicing [40,43] (Figure 189 1). Curiously, snoRNA host transcripts were never referred to as RNAs with dual function, although 190 they clearly are since most host genes generate protein products in addition to their snoRNAs. 191 Maybe is it because snoRNAs were initially identified in introns of ribosomal protein genes or 192 genes encoding translation factors or nucleolar proteins, thus both contributing to the same general 193 biosynthetic process. However, this is no longer a general rule with the identification of more 194 widespread functions in pre-mRNA processing [44] and the identification of non-rRNA targets of 195 snoRNAs [45], stressing the need to distinguish coding from non-coding functions carried by the 196 same snoRNA host transcript in functional studies. More striking is the identification of non-197 protein-coding hosting transcripts. Indeed, a non-negligible fraction of human snoRNAs [about 198 22% (Table 2); calculated from UCSC main table "Genes and Gene Predictions" intersected with DASHR collection [46]] lies within small introns of ncRNAs, with the remarkable case of so-called 199 200 snoRNA host genes (SNHG) that can shelter many snoRNAs in the same transcript [47]. Prominent 201 examples include SNORD115 and SNORD116 clusters of dozens of snoRNAs produced from the 202 same SNHG14 ncRNA. SNHG ncRNAs do not have clear functions (Supplementary Table 1) 203 other than being dedicated to the production of snoRNAs, although their deregulation has been 204 associated with carcinogenesis [47,48]. A prominent example is the growth arrest-specific 5 205 (GAS5) lncRNA, which carries almost one snoRNA in each of its 11 introns, and functions as a 206 tumor suppressor [49]. Human GAS5 was proposed to also carry snoRNA-independent functions as 207 a ribo-suppressor of glucocorticoid receptors binding to their genomic targets, as a decoy for 208 miRNA or even as a small peptide-encoding ncRNA, although these features lack conservation 209 among species [49,50]. Hence, human GAS5 is typically a lncRNA with multiple functions 210 although several questions remain to be formally addressed like the existence of small peptides

from GAS5 translatable small ORFs (sORF) *in vivo*, and more importantly, the decoupling of the relative contribution of snoRNAs or ribo-mimic functions of GAS5 to understand which elements confer the tumor suppressor function of the *GAS5* gene.

214 The second most represented class of SIDs is the class of non-canonical miRNAs such as 215 simtrons and mirtrons, which are produced independently of the microprocessor DiGeorge 216 syndrome Critical Region 8 (DGCR8) enzyme [41,51-53]. The release of simtrons is concomitant to 217 splicing and involves cleavage by the RNAse III enzyme Drosha recruited through the U1 general 218 splicing factor directly on the pre-mRNA [41,54]. Mirtrons are also processed by Drosha but post-219 splicing, and thus require debranching of the intron-lariat by Debranching RNA enzyme 1 (DBR1) 220 [51,52]. Hence, simtrons and mirtrons, and a few others described recently [42], are dependent on 221 both the transcription of their host genes and on intron cleavage/splicing. Cases of intron-derived 222 miRNAs have been reported as targeting their own host RNA in regulatory feedback loops [55], 223 suggesting that duos of SIDs/host transcripts are involved in the same biological processes or 224 functions, although it remains poorly documented. In Drosophila, a recent report showed how a 225 mirtron, its host and target transcripts form a complex network of regulatory loops to control 226 synaptic homeostasis and neural activity [56]. We can speculate that this type of regulation is far 227 from being anecdotal since nearly 500 mirtrons have been identified in humans [57]. Since miRNAs 228 have many potential target transcripts whatever their origin and biogenesis, they are likely to have 229 more pleiotropic functions than their host transcript, stressing that the evaluation of their release in 230 certain normal or pathological cellular contexts must be discriminated from the expression levels of 231 their host transcript.

232 Another remarkable case of small ncRNAs that are directly produced through splicing of a 233 precursor pre-RNA is the class of circular RNAs, which, by definition, stand out from others by 234 their circular structure. After the splicing reaction, an intron lariat is produced, which normal fate is 235 to be debranched and degraded, although they can be trimmed by an exonuclease to produce an 236 intronic circular RNA (ciRNA) [58]. Although the function of these RNAs is not yet fully 237 understood, 300 ciRNAs have been predicted in humans [59]. In addition, pre-RNAs can also 238 generate covalently-closed circular RNAs (circRNAs) through back-splicing, a reverse splicing 239 process where the donor splice site reacts with an upstream acceptor splice site [see definitions in [60,61]]. CircRNAs can be composed of exons (EcircRNAs), introns (IcircRNAs), or both 240 241 (ElcircRNAs). Recent studies have shown that this type of ncRNAs could act as miRNA sponges or 242 transcriptional regulators [61]. Similar to the above-mentioned SIDs, circRNAs coexist with the 243 mature long RNA, producing a duo of RNA molecules whose cooperation or antagonist functions 244 remain to be addressed. With the advent of high throughput sequencing, about 100,000 circular 245 RNAs have been identified and characterized in humans [62]. According to circBase and a circRNA

expression resource of 20 human tissues [62,63], circRNAs are essentially tissue-specific and only a small subset is expressed in a given cell type, generally at high levels and are often more abundant than their linear host RNAs [62,63]. Although the functions of most circRNAs as decoys and whether they are translated or influence the functions of their linear counterparts is still debated, their abundance and restriction to a given cell type is strikingly reminiscent of that of miRNAs [46], pointing to promising roles in gene regulatory networks.

252 Transfer RNAs are also first transcribed as a precursor (pre-tRNA) and subjected to splicing [64] in all species. We can mention the striking example of the pre-tRNA<sup>trp</sup> which produces a 253 254 functional C/D box snoRNA after splicing of its intron (Figure 2) in the archae Haloferax volcanii 255 [65]. Interestingly, the associated small nucleolar ribonucleoprotein complex (snoRNP) serves as a 256 guide for the 2'-O-methylation (2'OMe) of its own host transcript, which is a post-transcriptional 257 editing typically involved in the proper functioning of tRNAs [66]. However, the deletion of the intron or the absence of the tRNA<sup>Trp</sup> editing have no impact on the viability of H. volcanii in normal 258 conditions, although it was suggested that it may manifest itself under certain stress or competitive 259 260 conditions [67].

261 As a whole, certain pre-RNA can produce two types of transcripts, *i.e.* coding mRNAs and 262 small regulatory SIDs or circular RNAs. The case of the above-mentioned SRA is even more 263 remarkable as it can release a coding mRNA and a SID, or a mRNA and a long ncRNA that retains 264 the intron containing the SID [42]. Likewise, certain tRNA genes can release a tRNA and a small 265 regulatory RNA. Hence, it appears that precursor RNAs are not always mere transient conveyors of 266 genetic information as they contain multiple pieces of information and can generate a panel of 267 mature RNAs. In that respect, introns represent an obvious contributor to transcriptional 268 diversification as their retention in, or excision from, the pre-RNA will greatly increase the output 269 of a single transcription unit. It is also not surprising that more examples of bifunctional pre-RNA 270 are found in higher eukaryotes, especially mammals, since the number of introns tends to increase 271 with the increased developmental complexity of organisms [68].

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#### Splicing of exons and the release of short ncRNAs

In addition to AS of introns, exon skipping is also well known to create normal or pathological post-transcriptional diversity. The *H19* locus is fascinating and probably the first example of a ncRNA hosting a small regulatory RNA in an exon. *H19* is transcribed in a 2.3 kb long lncRNA, exclusively from the allele inherited from the mother, which acts as a trans-regulator of an imprinted gene network involved in the control of fetal and early postnatal growth in mice [69]. In fact, transcription within the *H19* locus is extremely complex. In addition to the H19 transcript, two transcripts in the antisense orientation have been described, also from the maternal 281 allele: a coding transcript HOTS (H19 opposite tumor suppressor, 6 kb long) [70] and a very long 282 ncRNA named 91H (120 kb long) [71]. Adding to the complexity, the H19 transcript itself also 283 contains a highly conserved stem-loop structure embedded within its first exon and shown to release 284 hsa-miR-675 [72,73]. H19 is highly expressed in most fetal tissues and in the placenta, but the 285 processing of miR-675 seems to be restricted to the latter where it operates growth suppressing 286 functions [72]. This tight control may be overcome in physiopathological conditions to allow rapid 287 inhibition of cell proliferation [72]. However, whether H19 functionality resides solely in its role as 288 an abundant pri-miRNA, or if H19 operates independent functions as suggested by the disparity 289 between H19 and miR-675 expression and findings of miRNAs sponge activity in muscle cells [74], 290 is still debated. There are probably many other such examples since exonic miRNAs represent 3.9% 291 of total miRNAs, even if it may represent less than a hundred of associated transcripts and hence, 292 candidate bifunctional RNAs [75]. Yet, and in contrast to miRNAs produced through splicing of 293 introns discussed above, it remains to be tested whether and in which contexts exon skipping would 294 promote the release of a miRNA and a functional RNA knowing that i) about half of the exonic 295 miRNA are embedded within exons of spliced lncRNAs whose functions are often elusive and ii) 296 Drosha processing of an exonic miRNA is likely to impair the production of the spliced host mRNA 297 with coding functions [75].

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#### Precursor RNAs producing distinct non-coding functions

300 It is commonly thought that most lncRNAs are expressed at low levels and are poorly 301 conserved at the sequence level across species. However, certain classes of lncRNAs are clearly as 302 abundant as mRNAs transcribed from housekeeping genes, with a common denominator of 303 escaping degradation and accumulating as surprisingly stable transcripts since they lack terminal 304 structures typical of Pol II transcripts including the polyA tail [76]. The reason is obvious for 305 circular RNAs that have no end or snoRNAs generated through splicing, but this is more 306 remarkable for longer transcripts. Prime examples include MALAT1 (NEAT2) and MEN  $\epsilon/\beta$ 307 (NEAT1) transcribed from adjacent loci. Metastasis Associated Lung Adenocarcinoma Transcript 1 308 RNA (MALAT1) is a lncRNA originally described as being upregulated in cancer cells [77], in fact 309 among the most abundant long ncRNAs in mouse and human tissues. This rarely spliced transcript 310 is also not poly-adenylated owing to its 3'end being cleaved by the endonuclease RNase P at the 311 level of an evolutionary conserved tRNA-like structure, which simultaneously generates the non-312 polyadenylated long MALAT1 transcript and a tRNA-like small ncRNA [77,78]. The thus-313 generated 3' end of MALAT1 folds into a triple helix that prevents exonucleolytic degradation, 314 which is further processed to generate a mature 61-nt transcript known as mascRNA (MALAT1-315 associated small cytoplasmic RNA). MALAT1 is retained in nuclear speckles where it associates

316 with serine/arginine-rich (SR) proteins and splicing factors [79]. The knock-down of this lncRNA 317 impacts the localization of splicing factors in nuclear speckles, and thus, MALAT1 is thought to 318 play a role in the regulation of splicing [79] although it was proposed to regulate gene expression 319 via multiple mechanism [76]. In clear contrast, mascRNA is exported to the cytoplasm although it is 320 unlikely that it reads the genetic code as its anticodon loop is poorly conserved. A dichotomy of the 321 immunoregulatory functions of MALAT1-mascRNA system has been reported following selective 322 ablation of mascRNA in monocytes [80], but the exact biological function of mascRNA remains 323 undefined. Nevertheless, the primary MALAT1 transcript is the first example of a pre-RNA processed into two mature ncRNAs with distinct fates other than via the intron splicing process 324 325 [78].

The multiple endocrine neoplasia- $\beta$  locus (MEN1) is also able to generate lncRNAs (MEN- $\epsilon$ and - $\beta$ ) that are essential organizational components of nuclear paraspeckles [81]. Whereas MEN- $\epsilon$ is subjected to canonical cleavage/polyadenylation, the mature 3' end of the longer isoform MEN- $\beta$ is generated via the same mechanism as for MALAT1 together with a tRNA-like RNA (menRNA). Although the latter is structurally unstable in most mouse and human cells, it is stable in other species for unclear reasons and unknown functional consequences, but suggesting that MEN- $\beta$ could operate dual functions in these species [81,82].

333 Strikingly, more than 100 loci in vertebrate genomes present a MALAT1 3'-end triple helix 334 structure and its immediate downstream tRNA-like structure [83]. Their functional dichotomy 335 remains to be demonstrated but they deserve further attention when the possible pathogenic 336 relevance of their non-coding transcripts is addressed.

337 To add to the most recent sources of regulatory small RNAs, the finding that small ncRNAs 338 can themselves be further processed into smaller RNA species is a direct consequence of the rapid 339 progress in RNA sequencing and bioinformatic analysis [84]. More than 25,000 fragments derived 340 from tRNAs (tRFs) [85-87] or hundreds from snoRNAs (sdRNAs) [88-91], around 14 to 40nt long 341 depending on species, were indeed found in RNAseq data and first thought to be degradation 342 products. There is now mounting evidence that their biogenesis is conserved and that they are 343 processed to generate stably accumulating fragments in a non-random and regulated manner. These 344 small RNAs fragments share similar features with miRNAs and were actually found to be 345 associated with Argonaute (AGO) proteins suggestive of a role in translational repression, although 346 they may interfere with translation through other mechanisms [92]. The processing of a subset of 347 tRNAs into tRFs was actually thought to provide a rapid response to downregulate RNA translation 348 during the stress response [93]. An interesting finding is that tRFs target endogenous retroviruses 349 and inhibit their retrotransposition through a miRNA-like silencing of transposon reverse 350 transcription as demonstrated in mice [94]. Because all organisms have tRNAs, it is possible that 351 this is in fact a highly conserved mechanism to control the deleterious mobility of transposons in contexts where they escape epigenetic silencing, at early embryonic development stages for 352 353 example when the epigenetic landmarks of parental genomes are reset [94]. At these stages, the 354 functions of tRNAs and their derived tRFs are clearly distinct. As for sdRNAs, examples of 355 processed snoRNAs have been described for both H/ACA and C/D boxes snoRNAs [89,95,96]. As reviewed in [90], there is a significant overlap between snoRNA and miRNA processing enzymes, 356 357 including AGO and DICER, their functional binding partners and even their subcellular 358 localizations, which renders the distinction between their respective activities somewhat tricky. 359 There are a few reports that do provide support for the functions of both snoRNA and miRNA co-360 existing within the same molecule. Yet, one has to admit that this is essentially based on the use of 361 mimics for the sdRNA-miRNA-like fragment and evidence that the miRNA-like and its parental 362 snoRNA are enriched in distinct subcellular locations, whereas loss-of-function experiments are 363 likely to affect both types of molecules altogether. Nonetheless, target genes for these sdRNAs have 364 been predicted and validated for a few of them, with prominent examples pertaining to the 365 regulation of the p53/Mdm2 (Mouse double minute 2 homolog) feedback loop central to tumor suppression [[97], reviewed in [88]]. 366

In sum, tRNAs and snoRNAs are among the best-known and best-studied small ncRNAs. 367 However, they also hide unexpected functions through their processing into smaller ncRNAs that 368 add to the complexity of regulatory networks of genomes functions. With the resulting processed 369 370 small RNA fragments operating miRNA functions, an adverse consequence is that the dual 371 function, or more precisely the individual function of the tRNA or snoRNA entities in the processes 372 under consideration, is largely overlooked compared to that of the miRNA-like fragments. 373 Nevertheless, their abnormal levels is an index of a pathological situation, and because small RNAs 374 are easily detectable in body fluids, they are useful biomarkers for human diseases [88,98]. In 375 addition, it could be considered that if their physiological function in most healthy physiological 376 contexts may be marginal, the stress-induced maturation that they have in common [93,99] is 377 actually a mechanism that promotes the production of distinct entities to orchestrate protective 378 cellular functions.

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#### 381 **2/ When a single transcript performs multiple functions**

#### 382 Long non coding RNAs with small ORFs

Remarkably enough, some of the RNAs that are currently considered as "true" ncRNAs may actually have the potential to be translated [100]. In recent years, the last criterion for distinguishing coding from non-coding RNAs has been shaken up with findings that lncRNAs can be associated

386 with polysomes [101]. In fact, large-scale ribosome profiling estimated that nearly 40% of known 387 human lncRNAs are associated with ribosomes in the cytoplasm [102]. However, whether certain 388 lncRNAs are actually translated or this is used as a strategy to regulate their abundance in the cell is 389 still far from being clear. Today, the real challenge is to identify these short peptides translated from 390 lncRNAs in vivo and determine whether they have a function, or an impact, in a biological process. 391 Some of them have been studied in depth and their associated functions in the cell have been 392 described. This is the case for Myoregulin (MLN) and Dwarf open reading frame (DWORF) 393 micropeptides. MLN and DWORF have 46 and 34 amino acids and are encoded by the lncRNAs 394 LINC00948 and LOC100507537, respectively [103,104]. They are both involved in muscle relaxation mediated by the re-import of  $Ca^{2+}$  into the sarcoplasmic reticulum by the 395 sarco/endoplasmic reticulum Ca<sup>2+</sup>-ATPase (SERCA) pump (Figure 3). MLN acts as a SERCA 396 397 pump inhibitor and therefore prevents muscle relaxation, whereas DWORF increases SERCA pump 398 activity by displacing SERCA inhibitors and thus facilitates muscle relaxation [103,104]. We can 399 also mention the most recent example of the lncRNA LINC00116 which encodes a highly 400 conserved 56-amino-acid mitochondrial micropeptide, Mitoregulin, which has been implicated in 401 the regulation of respiratory efficiency in cardiac and skeletal muscle cells [105]. Micropeptides 402 have so far evaded annotation efforts because of the technical difficulties to identify micropeptides, 403 but also probably because the expression of at least a subset of these bifunctional micropeptide-404 encoding lncRNAs is restricted to specific cell types [22,106]. Consequently, their host transcripts, 405 as many others, may have just been misannotated as lncRNAs, awaiting the identification of 406 associated peptides. Many of the corresponding transcripts have now been reclassified as coding 407 transcripts in the latest version of GENCODE (v33) with an NM nomenclature. They have not 408 been formally studied in terms of function, localization and downregulation in conditions where 409 their coding portion is deleted, so the dual function of such transcripts is still debated. Of course, this would require being able to uncouple the RNA functionality from its coding potential as it has 410 411 already been performed in other instances [12,107].

412 Among the examples cited in Table 1, LINC00961 has been reclassified as a protein-coding 413 gene because it was shown to encode a 90-amino-acid micropeptide called Small Regulatory 414 Polypeptide of Amino-Acid Response (SPAAR) involved in muscle regeneration [108]. In the 415 context of angiogenesis, SPAAR and LINC00961 have a pro- and an anti-angiogenic role, 416 respectively. Whereas SPAAR interacts with the pro-angiogenic Spectrin Repeat Containing 417 Nuclear Envelope Protein 1 (SYNE1) protein involved in the connection of organelles to actin 418 filament and endothelial cell migration, LINC00961 binds to and negatively regulates the function 419 of Thymosin beta 4 (TB4) protein involved in the rearrangement of actin filament network and the 420 induction of angiogenesis [109]. Thus, LINC00961 can be considered as a bifunctional RNA for 421 which both the lncRNA and its encoded micropeptide contribute to the regulation of angiogenesis. 422 Of note, it would be of interest to know whether a switch of expression of the two entities occurs 423 when angiogenesis is needed and what is the mechanism underlying this regulation. In any case, the 424 example of the pair LINC00961/SPAAR supports the idea that some micropeptide-encoding 425 lncRNAs hide multiple functions that have not yet been uncovered in the appropriate cellular 426 context.

427 In a more large scale study, van Heesch *et al.* have established the translatome of the human 428 heart where they found that 129 lncRNAs over the 783 expressed were also translated into 429 micropeptides [110]. Surprisingly, among these, 27 have assigned non-coding functions like the 430 IncRNAs NEAT1 [111] and JPX (Just Proximal to XIST) [112], and 4 are known SNHG such as 431 the already mentioned GAS5. Moreover, 22 micropeptides encoded from lncRNAs were localized 432 to mitochondria and associate with mitochondrial processes, although further investigation is 433 needed to fully dissect the role of these micropeptides in mitochondrial processes [110]. However, 434 this study stresses the fact that a number of translated lncRNAs are likely to possess both coding 435 and non-coding roles, and that this previously unrecognized biology of lncRNAs is likely to prompt 436 a multitude of follow-up studies.

In sum, the discovery of translatable sORF in so-called lncRNAs also goes against a rigid and
dichotomic classification of RNA molecules into strictly coding or non-coding [100,104,113].
Instead, it lends support to a model where translation, just as previously reported for transcription,
might also be a rather pervasive mechanism [114].

441 442

#### Messenger RNAs that perform non-coding functions

443 Just like ncRNAs can produce peptides, some RNAs have been assigned functions distinct 444 from their role as intermediates in protein synthesis, and are also typically bifunctional. This is the 445 case for SRA or p53 (tumor protein 53) transcripts [27,107]. This is not surprising because mRNAs 446 have stable secondary structures just like ncRNA do [12,115], at least predicted with RNAfold 447 (http://unafold.rna.albany.edu/), which allow transcripts to interact with proteins, and in some 448 reported cases, to regulate their function or localization. As an example, SRA mRNA interacts with 449 MyoD, the master transcription factor of myogenic differentiation, leading to MyoD transcriptional activation and activated muscle differentiation/reprogramming [27]. Likewise, p53 mRNA interacts 450 451 with the E3 ubiquitin-protein ligase mdm2 and prevents the latter from promoting the degradation 452 of the p53 protein. Hence, it is tempting to speculate that other mRNAs could actually operate dual 453 functions in a given cell type or in a particular cellular context as it is the case for p53 mRNA in 454 response to stress [107,116].

455 It is interesting to note that the ncRNAs and their associated protein(s) are mainly involved in 456 the same biological processes, or even in the same pathways. Indeed, if we focus on the functions 457 attributed to the examples of ncRNA/protein pairs mentioned in **Table 1**, 14 out of the 18 operate in 458 the same pathways, although it may be in different space-time frames. They can also be involved in the regulation of one another as shown for the duo SRAP/SRA RNA [27]. The molecular basis of 459 460 this kind of switch of activity is not clear, but it could occur in specific circumstances when the 461 integrity of the genome is threatened and the cell's defence strategies need to be strengthened or 462 modulated.

463

# 464 3/ A cascade of events: protein-coding genes that also produce "ncRNAs" with a coding 465 capacity

466 As mentioned above, circRNAs originate from back-splicing of a pre-RNA, and were 467 originally described as ncRNAs with, to date, mainly a miRNA sponge effect [117]. However, hundreds of circRNAs were recently shown to be associated with ribosomes [118], although they 468 469 lack the 5' cap owing to their splicing origin. Nevertheless, N-6 methyladenosine (m6A) RNA 470 editing or short internal ribosome entry sites-like (IRES-like) elements were suggested to serve as 471 translation start sites [119,120]. As an example, the circRNA originating from the back-splicing of 472 ZNF609 exon 2 has been involved in the proliferation of myoblasts [121]. It also encodes a peptide 473 owing to the presence of an IRES-like sequence [121]. Further research is still needed to determine 474 the possible functions of this circRNA-encoded peptide, and in a way that would discriminate them from the functions of the circRNA. Likewise, the CTNNB1 (\beta-catenin) gene produces a circRNA 475 476 called circ $\beta$ -catenin, which in turn codes for a new  $\beta$ -catenin shorter isoform [122]. This isoform serves as a decoy and competitively prevents GSK3\beta-mediated degradation of the full-length β-477 478 catenin protein, the overall consequence being the activation of the Wnt/β-catenin pathway involved 479 in cancer progression [122]. In fact, the production of smaller protein isoforms through back-480 splicing and circularization of selected exons from their linear mRNA transcripts may be a more 481 widespread mechanism with the demonstration that hundreds of cirRNAs do harbour a canonical 482 start codon [121]. Whether these shorter isoforms would all compete with the longer isoforms 483 produced from the same locus deserves attention. Despite unknowns regarding the cellular contexts 484 that control the competition between canonical splicing and back-splicing and lead to variable 485 expression patterns of circRNAs and linear RNAs [123], abnormal levels of cirRNAs have been 486 implicated in tumorigenesis, possibly through the production of small protein isoforms [124].

487 488

#### 4/ From multitasking to multifunctional RNAs throughout evolution?

489 One of the most preserved processes during evolution, from lower to higher eukaryotes, *i.e.* 490 from yeast to human, is probably the splicing of pre-mRNAs, whereby introns are removed from 491 the original transcript leading to the juxtaposition of coding exons. Splicing has also been described 492 in prokaryotes, although this is a rare event, mainly occurring in tRNAs [125]. In mammals, 493 splicing is performed by the core spliceosome complex composed of snRNAs, the main ones being 494 snRNA U1, U2, U4, U5 and U6, and of about 50 proteins, among which the PRP8 protein (pre-495 mRNA processing factor 8) is the largest and most highly conserved protein of the spliceosome 496 [126] (Figure 4). In bacteria, the whole mechanism is driven by a single molecule, the intron itself, which belongs to the self-catalytic Group II introns [127]. In that case, Group II introns combine the 497 498 structure and function of snRNAs into six RNA domains (I to VI) with an ORF that encodes the 499 intron-encoded protein (IEP) equivalent to eukaryotic PRP8 [128] (Figure 4). Hence, group II 500 introns from bacteria are inherently all bifunctional RNAs. Yet, one could consider that this is 501 multitasking since the same RNA molecule, *i.e.* the intron, carries and not releases per se, both 502 RNU RNAs and IEP protein.

Even in ancient living organisms like viruses, the RNA contains much more than just the genetic information translated into functional proteins since they also carry essential elements for their replication [129]. In addition, their untranslated extremities also seem to have a structure similar to that of an intrinsic tRNA [130].

507 Prokaryotic genomes are dominated by coding sequences, although dozens of regulatory 508 ncRNAs are present and expressed. Conversely, eukaryotic genomes are mostly non-coding, which 509 has led to the suggestion that the evolution of organisms towards increasing complexity has led to 510 the emergence of numerous ncRNAs with various functions, the expression of which is thought to 511 be restricted to different cell types and contexts [68,131]. The emergence of non-protein regulatory 512 molecules could have then favoured the formation of increasingly complex regulatory networks, allowing for increasing sophistication in the way genomes are regulated in space and time to 513 514 achieve more complex organismal developmental processes, in particular related to the 515 development of new cognitive functions [68,132].

516 In fact, all the mentioned observations suggest that the multi-functionality of RNAs could in 517 fact result from a combination of the first two hypotheses with the following scenario: RNAs were 518 essential and omnipresent during the emergence of life, and were gradually supplanted by other 519 more effective and stable macromolecules, including DNA and proteins. Although they remained 520 present, they became available for additional functions as living organisms became more complex 521 and required increasingly sophisticated regulatory networks. If this scenario holds true, some RNAs 522 must have appeared recently during evolution. This is indeed the case in bacteria: most bacterial 523 regulatory RNAs are expressed exclusively in some species and are absent in others, despite their 524 phylogenetic proximity. Thus, RNAs are essential macromolecule in the emergence, evolution and 525 complexification of living organisms, but bifunctional RNAs probably allow high reactivity to 526 changes in the environmental conditions to which host organisms are subjected and for rapid and 527 effective micro-evolution.

528

#### 529 Conclusion

530 It is clear now that the flow of genetic information does not simply go from DNA to proteins 531 through RNA intermediates, or from DNA to a vast repertoire of long and short non-coding RNAs. We went over specific examples where a same genetic locus or pre-RNA can produce coding and 532 533 non-coding transcript, long or short, and shake the original belief that portions of the genome are 534 either coding or non-coding. Whether these are just discrete cases or the manifestation of a more 535 pervasive phenomenon remains to be properly evaluated. Nonetheless, one has to admit that the 536 information contained in a given portion of the genome can be multiple. As mentioned, all the 537 components produced may co-exist in the cell in a tightly controlled equilibrium and potentially in 538 the same regulatory loops or pathways. There are also cases where a switch of functions operates 539 during development or differentiation for instance. We have also mentioned in many places that 540 cellular stress is a widespread mechanism in most species that can cause a change between distinct 541 RNA entities. Why switching from a mRNA to a ncRNA or a long to small ncRNA may have to do 542 with the need to produce regulatory molecules in specific sub-cellular locations, with increased or 543 decreased stability or number of partners and targets. With splicing being mainly co-transcriptional, 544 it certainly offers a versatile system to rapidly switch gears in a developmental process or in 545 response to genomic insults. Although our vision of the mechanisms and actors behind these 546 changes in the nature of the RNAs produced by a genetic locus is still fragmentary, it is clear that 547 alterations of the equilibrium between these components is altered in pathological situations.

548 In contrast to the concept of pervasive transcription born over a decade ago, or of pervasive 549 translation proposed fairly recently, the concept of multiple information carried by genes and RNAs 550 only slowly attracts attention. This probably reflects the difficulties in searching for and testing this 551 multiplicity of information when non-targeted functional assays are likely to be misleading. This 552 would be however important in contexts where it appears that both entities from the same 553 transcription unit (mRNA and ncRNA or two ncRNAs) often operate in the same biological 554 processes, or even in the same biological pathways. If the balance between these entities contributes 555 to a global function and its dynamics influences cell fate, then, knowing which molecules are at 556 play would become important for functional experiments. Although it may still seem eccentric to 557 want to know if the function of a genomic locus is through proteins, long or short RNAs, or a dynamic combination of these, it may become less anecdotal in disease situations and in the huntingof diagnostic biomarkers or druggable targets.

560 The concept of bifunctional RNA is gaining momentum with an increasing number of 561 reported cases. We would like to speculate that studying these "chimeras" between coding and non-562 coding RNA will help to decipher the evolutionary links between these two groups of molecules.

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#### 573 **Disclosure of interest**

574 The authors declare no conflict of interest.

- 576 **Author Contributions**
- 577 B.B., C.F. and F.H. wrote the paper.
- 578

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## Table 1. Examples of RNAs with dual functions

Organism	Туре	Role	Refs
Bacteria			
Ductoria	RNA	Modulates the production of toxins and enzymes by base-pairing on mRNA targets	[133]
RNAIII (S. aureus)	Protein	Controls the switch between early and late expression of several exotoxins	[134]
	RNA	Regulate sugar stress (prolonged stress)	[135]
SgrS (E. coli)	Protein	Regulate sugar stress (early response)	[135]
	RNA	Regulation of arginine catabolism	[136]
SR1 (Bacillus)	Protein	SR1P binds and stabilises the gapA operon mRNAs	[136]
	RNA	Function as a tRNA by adding alanine to a stalled polypeptide chain	[136]
tmRNA (Bacteria)	Protein	Function as a mRNA by incorporating a degradation tag to the polypeptide chain	[136]
	RNA	Downregulates target genes involved in root development	[137]
miPEP171b ( <i>M. truncatula</i> )	Protein	Enhances the accumulation of its corresponding mature miRNA	[137]
	RNA	Downregulates target genes involved in root development	[137]
miPEP165a (A. thaliana)	Protein	Enhances the accumulation of its corresponding mature miRNA	[137]
Plants			
Enod40	RNA	Confers specificity for the recognition of mRNA targets of MtRBP1	[138]
	Protein	Affects MtRBP1 localization during nodule development	[138]
Insects			
	RNA	Scaffold for the complexes essential for development of the oocyte (early stage)	[139]
Oskar (Drosophila)	Protein	Scaffold for the complexes essential for development of the oocyte (late stage)	[139]
Fish			
Sat (Danio rerio)	RNA	Deliver/sequester maternal factors for dorsal specification	[140]
-1.()	Protein	Deliver/sequester maternal factors for dorsal specification	[140]
Amphihians			
Amphiouns	RNA	Organization of the cytokeratin cytoskeleton	[141]
VegT (Xenopus)	Protein	Transcription factor of the T-box family	[141]
	11010111		[1.1]
Mammals (mainly human and	mice)		
n53	RNA	Stimulates p53 translation and prevents p53 protein from Mdm2-induced degradation	[116]
p55	Protein	Tumor suppressor	[116]
SR A1	RNA	Co-activator of transcription factors	[12]
SIGH	Protein	Inhibitor of the co-activation function of SRA RNA	[12]
circ-ZNF609	RNA	Serves as a sponge for miR-150-5p and controls cell proliferation	[142]
	Protein	Control of myoblast proliferation	[121]
ASCC3	RNA	Counteracts the function of the protein-coding isoform	[38]
	Protein	Negative regulator of the host defense response	[38]
LINC00961/SPAR	RNA	has as an anti-angiogenic role by inhibiting the function of $T\beta 4$ protein	[109]
	Protein	Involved in muscle regeneration/has a pro-angiogenic role by binding the SYNE1 protein	[108]
HIC	RNA	Activates P-TEFb by displacing 7SK RNA	[143]
	Protein	Inhibits P-TEFb transcription	[144]
Irs-1	RNA	Inhibits myoblasts differentiation through complementary sequence to Rb mRNA	[145]
	Protein	Coordinates skeletal muscle growth and metabolism	[146]
apoE/apoE-I3	RNA	apoE-I3 controls apoE expression in neurons	[147]
	Protein	Involved in lipid transport system	[147]
PNUTS	RNA	regulates EMT migration and invasion in vitro through its miR-205 interaction	[39]
	Protein	functions as a proto-oncogene by sequestering PTEN	[148]

908 Table 2. Number of small ncRNAs identified in intronic regions of lncRNAs. All numbers were 909 inferred from the DASHR database and were calculated from UCSC main table "Genes and Gene 910 Predictions" intersected with DASHR collection [46]. \*, These intronic snoRNA are included in 911 snoRNA host-genes (SNHG); miRNA, microRNA; rRNA, ribosomal RNA; scRNA, small 912 cytoplasmic RNA; snoRNA, small nucleolar RNA; snRNA, small nuclear RNA; tRNA, transfer 913 RNA.

914

Type of ncRNA	Total number	Within intron of IncRNA	%
miRNA	1,582	198	12.51
rRNA	1,723	204	11.84
scRNA	1,291	170	13.17
snoRNA	402	88 *	21.89
snRNA	4,278	533	12.46
tRNA	623	52	8.35
		Mean:	13.37 ± 4.51

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- 917 Figures legends
- 918

Figure 1. Diversification of proteomic and transcriptional outputs through constitutive or 919 920 alternative splicing. More than 95% of introns are rapidly degraded after splicing (top left panel), 921 but some can escape degradation and then represent precursors of short ncRNA [149] (top right 922 panel). Retained introns can also favour the formation of protein isoforms (bottom left panel) or, if 923 it disturbs the ORF, it can promote the formation of a long ncRNAs (bottom right panel). Exons and 924 introns are represented by boxes and lines, respectively. mRNA, messenger RNA; ncRNA, non-925 coding RNA; H/ACA snoRNA, H/ACA box small nucleolar RNA; C/D snoRNA, C/D small 926 nucleolar RNA.

927

Figure 2. The 2'O-methylation of H. *volcanii* pre-tRNATrp is guided by its own intron. Thick
arrows indicate the pre-tRNA<sup>Trp</sup> processing pathway from nucleotide methylation and splicing to
the production of a tRNA<sup>Trp</sup> and the excised intron. RNP, ribonucleoprotein complex; C, cytosine;
Cm, methylated cytosine; U, uracil; Um, methylated uracil. Adapted from [65].

932

Figure 3. The micropeptides Myoregulin (MLN) and Dwarf open reading frame (DWORF). 933 934 DWARF RNA and MLN RNA were first identified as long non-coding RNAs (lncRNAs; Refseq 935 numbers NR\_037902 and BC069675 respectively). It appears that these two lncRNAs can encode 936 DWORF and MLN micropeptides, respectively. Subsequently, their RefSeq category has been revised: NR\_037902 became NM\_001352129 and BC069675 were replaced by NM\_001040109. 937 938 DWORF and MLN are both involved in muscle contraction. The first one enables muscle relaxation 939 by activating the SERCA calcium pump and thus the re-import of Ca2+ into the sarcoplasmic 940 reticulum. Conversely, the second one maintains muscle contraction by preventing the re-import of 941 Ca2+ by inhibiting the SERCA pump.

942

**Figure 4. The special case of group II self-catalytic introns.** (A) In prokaryotes, group II introns are composed of several domains that confer their self-catalytic activity. Domains I to VI are hypothesized to have evolved into separate activities in eukaryotes, namely snRNAs and the IEP homolog, PRP8 protein. (B) In eukaryotes, splicing of introns requires distinct effector RNAs. Grey arrows represent the 2 steps of the splicing reaction *i.e.* the nucleophilic attack of the branch point A and of the free 3'OH of the exon. 5' and 3' stand for 5'- and 3'-ends of exon; IEP, intron-encoded proteins; ORF, open reading frame. Adapted from Vosseberg [128].